BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Application of:)	File No. 800-2019-053535
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)	
MATTHEW WELLS MCCONNELL)	
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Applicant.	.)	
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DECISION AND ORDER

The attached Stipulation for a Probationary License is hereby accepted and adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on <u>May 9, 2019</u>, although the probation will not commence until the applicant completes any remaining requirements for licensure and the license is issued.

ORDERED: May 2, 2019

MEDICAL BOARD OF CALIFORNIA

Kristina D. Lawson, J.D., Chair

Panel B

BEFORE THE

MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Application of:	Case No. 800-2019-053535
MATTHEW WELLS MCCONNELL	STIPULATION FOR A
For a Physician's and Surgeon's License	PROBATIONARY LICENSE

- 1) Matthew Wells McConnell, applicant for a physician's and surgeon's license (hereinafter "applicant"), and Kimberly Kirchmeyer, Executive Director of the Medical Board of California (Board), hereby stipulate as follows:
- 2) Applicant is eligible for medical licensure in California upon meeting all licensure requirements.
- 3) On October 17, 2018, applicant submitted an application for a Physician's and Surgeon's License. The Board received information that on July 1, 2015, applicant was convicted of Virginia Crime Code Driving While Impaired (first offense) with a blood alcohol content of .15-.20% by the Charlottesville General District Court. Applicant was required to serve five (5) days in jail, complete the Virginia Alcohol Safety Action Program, driver's license was suspended for one (1) year, Ignition Interlock was required for six (6) months, and he was required to pay a fine of \$388.

Since, at least, November 2015, applicant has been under the care of treating professionals for an addictive disorder.

On May 25, 2016, applicant entered into a Consent Order with the Virginia Board of Medicine for abusing alcohol during his residency program while on call. Applicant was reprimanded by the Virginia Board of Medicine and was required to successfully complete his monitoring contract in the State of Virginia and maintain professional conduct through the remaining of his residency program. A copy of the Consent Order from the Virginia Board of Medicine is attached hereto as Exhibit A.

On February 1, 2018, applicant entered into a Consent Order with the North Carolina Medical Board, as a result of action taken by the Virginia Board of Medicine as mentioned above. As a result, the North Carolina Medical Board issued applicant a training license under specific terms and conditions. A copy of the Consent Order from the North Carolina Medical Board is attached hereto as Exhibit B.

4) Section 480(a) of the Business and Professions Code states, the Board may deny a license on the grounds that the applicant has done one of the following: been convicted of a crime; done any act that would be grounds for suspension or revocation of a license; and done a crime or act that is substantially related to the qualifications, functions, or duties of the business and profession for which application is made. Section 2234 of the Business

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and Professions Code states that the Board may take action for unprofessional conduct. Section 2239 of the Business and Professions Code states the Board may take action for the use of any dangerous drugs or alcoholic beverages to the extent, or in such a manner as to be dangerous or injurious to the licensee, or any other person or to the public. Section 2305 of the Business and Professions Code states that the Board may take action on the revocation, suspension, or other discipline, restriction, or limitation imposed by another state upon a license to practice medicine.

The above supports a conclusion that grounds exist for denial pursuant to sections 480(a)(1), 480(a)(3)(A), 480(a)(3)(B), 2234, 2239(a), and 2305 of the Business and Professions Code.

- 5) Pursuant to Section 2221 of the Business and Professions Code, the Board may deny a license to an applicant because of unprofessional conduct. Alternatively, the Board has the discretionary authority to issue a probationary license with terms and conditions.
- 6) Applicant acknowledges he has a right to request a Statement of Issues and a hearing upon denial of license for cause.

Applicant waives notice of hearing and judicial review in favor of this Stipulation for a Probationary License, which is subject to approval by the Board. If not approved, this Stipulation is null and void and may not be used for any purpose.

7) Applicant understands and agrees that counsel for the staff of the Board may communicate directly with the Board regarding this proposed Stipulation, without notice to or participation by applicant or his counsel. By signing the Stipulation, applicant understands and agrees that he may not withdraw this agreement or seek to rescind the Stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this Stipulation, the offer of a Stipulation for a Probationary License shall be of no force or effect; except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

ORDER

IT IS ORDERED THAT MATTHEW WELLS MCCONNELL, applicant, be issued a Physician's and Surgeon's License on a probation basis for a period of five (5) years, subject to the following terms and conditions. Probation shall begin on the date the applicant is issued a probationary license.

1) Clinical Diagnostic Evaluations and Reports
Within thirty (30) calendar days of the effective date of this Decision, and on whatever periodic basis thereafter as may be required by the Board or its designee, applicant shall undergo and complete a clinical diagnostic evaluation, including any and all testing deemed necessary, by a Board-appointed board certified physician and surgeon. The examiner shall consider any information provided by the Board or its designee and any other information he or she deems relevant, and shall furnish a written evaluation report to the Board or its designee.

The clinical diagnostic evaluation shall be conducted by a licensed physician and surgeon who holds a valid, unrestricted license, has three (3) years' experience in providing evaluations of physicians and surgeons with substance abuse disorders, and is approved by the Board or its designee. The clinical diagnostic evaluation shall be conducted in accordance with acceptable professional standards for conducting substance abuse clinical diagnostic evaluations. The evaluator shall not have a current or former financial, personal, or business relationship with applicant within the last five (5) years. The evaluator shall provide an objective, unbiased, and independent evaluation. The clinical diagnostic evaluation report shall set forth, in the evaluator's opinion, whether applicant has a substance abuse problem, whether applicant is a threat to himself or others, and recommendations for substance abuse treatment, practice restrictions, or other recommendations related to applicant's rehabilitation and ability to practice safely. If the evaluator determines during the evaluation process that applicant is a threat to himself or others, the evaluator shall notify the Board within twenty-four (24) hours of such a determination.

In formulating his or her opinion as to whether applicant is safe to return to either part-time or full-time practice and what restrictions or recommendations should be imposed, including participation in an inpatient or outpatient treatment program, the evaluator shall consider the following factors: applicant's license type; applicant's history; applicant's documented length of sobriety (i.e., length of time that has elapsed since applicant's last substance use); applicant's scope and pattern of substance abuse; applicant's treatment history, medical history and current medical condition; the nature, duration and severity of applicant's substance abuse problem or problems; and whether applicant is a threat to himself or the public.

For all clinical diagnostic evaluations, a final written report shall be provided to the Board no later than ten (10) days from the date the evaluator is assigned the matter. If the evaluator requests additional information or time to complete the evaluation and report, an extension may be granted, but shall not exceed thirty (30) days from the date the evaluator was originally assigned the matter.

The Board shall review the clinical diagnostic evaluation report within five (5) business days of receipt to determine whether applicant is safe to return to either part-time or full-time practice and what restrictions or recommendations shall be imposed on applicant based on the recommendations made by the evaluator. Applicant shall not be returned to practice until he has at least thirty (30) days of negative biological fluid tests or biological fluid tests indicating that he has not used, consumed, ingested, or administered to himself a prohibited substance, as defined in section 1361.51, subdivision (e), of Title 16 of the California Code of Regulations.

Clinical diagnostic evaluations conducted prior to the effective date of this Decision shall not be accepted towards the fulfillment of this requirement. The cost of the clinical diagnostic evaluation, including any and all testing deemed necessary by the examiner, the Board or its designee, shall be borne by the licensee.

Applicant shall not engage in the practice of medicine until notified by the Board or its designee that he is fit to practice medicine safely. The period of time that applicant is not practicing medicine shall not be counted toward completion of the term of probation. Applicant shall undergo biological fluid testing as required in this Decision at least two (2) times per week while awaiting the notification from the Board if he is fit to practice medicine safely.

Applicant shall comply with all restrictions or conditions recommended by the examiner conducting the clinical diagnostic evaluation within fifteen (15) calendar days after being notified by the Board or its designee.

2) Notice of Employer or Supervisor Information

Within seven (7) days of the effective date of this Decision, applicant shall provide to the Board the names, physical addresses, mailing addresses, and telephone numbers of any and all employers and supervisors. Applicant shall also provide specific, written consent for the Board, applicant's worksite monitor, and applicant's employers and supervisors to communicate regarding applicant's work status, performance, and monitoring. For purposes of this section, "supervisors" shall include the Chief of Staff and Health or Well Being Committee Chair, or equivalent, if applicable, when the applicant has medical staff privileges.

3) <u>Biological Fluid Testing</u>

Applicant shall immediately submit to biological fluid testing, at applicant's expense, upon request of the Board or its designee. "Biological fluid testing" may include, but is not limited to, urine, blood, breathalyzer, hair follicle testing, or similar drug screening approved by the Board or its designee. Applicant shall make daily contact with the Board or its designee to determine whether biological fluid testing is required. Applicant shall be tested on the date of the notification as directed by the Board or its designee. The Board may order an applicant to undergo a biological fluid test on any day, at any time, including weekends and holidays. Except when testing on a specific date as ordered by the Board or its designee, the scheduling of biological fluid testing shall be done on a random basis. The cost of biological fluid testing shall be borne by the applicant.

During the first year of probation, applicant shall be subject to 52 to 104 random tests. During the second year of probation and for the duration of the probationary term, up to five (5) years, applicant shall be subject to 36 to 104 random tests per year. Only if there has been no positive biological fluid tests in the previous five (5) consecutive years of probation, may testing be reduced to one (1) time per month. Nothing precludes the Board from increasing the number of random tests to the first-year level of frequency for any reason.

Prior to practicing medicine, applicant shall contract with a laboratory or service, approved in advance by the Board or its designee, that will conduct random, unannounced, observed, biological fluid testing and meets all the following standards:

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- (a) Its specimen collectors are either certified by the Drug and Alcohol Testing Industry Association or have completed the training required to serve as a collector for the United States Department of Transportation.
- (b) Its specimen collectors conform to the current United States Department of Transportation Specimen Collection Guidelines.
- (c) Its testing locations comply with the Urine Specimen Collection Guidelines published by the United States Department of Transportation without regard to the type of test administered.
- (d) Its specimen collectors observe the collection of testing specimens.
- (e) Its laboratories are certified and accredited by the United States Department of Health and Human Services.
- (f) Its testing locations shall submit a specimen to a laboratory within one (1) business day of receipt and all specimens collected shall be handled pursuant to chain of custody procedures. The laboratory shall process and analyze the specimens and provide legally defensible test results to the Board within seven (7) business days of receipt of the specimen. The Board will be notified of non-negative results within one (1) business day and will be notified of negative test results within seven (7) business days.
- (g) Its testing locations possess all the materials, equipment, and technical expertise necessary in order to test applicant on any day of the week.
- (h) Its testing locations are able to scientifically test for urine, blood, and hair specimens for the detection of alcohol and illegal and controlled substances.
- (i) It maintains testing sites located throughout California.
- (j) It maintains an automated 24-hour toll-free telephone system and/or a secure online computer database that allows the applicant to check in daily for testing.
- (k) It maintains a secure, HIPAA-compliant website or computer system that allows staff access to drug test results and compliance reporting information that is available 24 hours a day.
- (I) It employs or contracts with toxicologists that are licensed physicians and have knowledge of substance abuse disorders and the appropriate medical training to interpret and evaluate laboratory biological fluid test results, medical histories, and any other information relevant to biomedical information.
- (m) It will not consider a toxicology screen to be negative if a positive result is obtained while practicing, even if the applicant holds a valid prescription for the substance.

Prior to changing testing locations for any reason, including during vacation or other travel, alternative testing locations must be approved by the Board and meet the requirements above.

The contract shall require that the laboratory directly notify the Board or its designee of non-negative results within one (1) business day and negative test results within seven (7) business days of the results becoming available. Applicant shall maintain this laboratory or service contract during the period of probation.

A certified copy of any laboratory test result may be received in evidence in any proceedings between the Board and applicant.

If a biological fluid test result indicates applicant has used, consumed, ingested, or administered to himself a prohibited substance, the Board shall order applicant to cease practice and instruct applicant to leave any place of work where applicant is practicing medicine or providing medical services. The Board shall immediately notify all of applicant's employers, supervisors and work monitors, if any, that applicant may not practice medicine or provide medical services while the cease-practice order is in effect.

A biological fluid test will not be considered negative if a positive result is obtained while practicing, even if the practitioner holds a valid prescription for the substance. If no prohibited substance use exists, the Board shall lift the cease-practice order within one (1) business day.

After the issuance of a cease-practice order, the Board shall determine whether the positive biological fluid test is in fact evidence of prohibited substance use by consulting with the specimen collector and the laboratory, communicating with the licensee, his treating physician(s), other health care provider, or group facilitator, as applicable.

For purposes of this condition, the terms "biological fluid testing" and "testing" mean the acquisition and chemical analysis of an applicant's urine, blood, breath, or hair.

For purposes of this condition, the term "prohibited substance" means an illegal drug, a lawful drug not prescribed or ordered by an appropriately licensed health care provider for use by applicant and approved by the Board, alcohol, or any other substance the applicant has been instructed by the Board not to use, consume, ingest, or administer to himself.

If the Board confirms that a positive biological fluid test is evidence of use of a prohibited substance, applicant has committed a major violation, as defined in section 1361.52(a), and the Board shall impose any or all of the consequences set forth in section 1361.52(b), in addition to any other terms or conditions the Board determines are necessary for public protection or to enhance applicant's rehabilitation.

In consideration of applicant's fulfillment of criteria set forth by Code of Regulations, title 16, section 1361.5(3)(I)(1) (Previous Testing Orders/Sobriety), applicant is permitted to bypass the first-year testing frequency requirements set forth above. Accordingly, the

second-year testing frequency requirements will apply upon applicant's commencement of probation.

Substance Abuse Support Group Meetings

Within thirty (30) days of the effective date of this Decision, applicant shall submit to the Board or its designee, for its prior approval, the name of a substance abuse support group. which he shall attend for the duration of probation. Applicant shall attend substance abuse support group meetings at least once per week, or as ordered by the Board or its designee. Applicant shall pay all substance abuse support group meeting costs.

The facilitator of the substance abuse support group meeting shall have a minimum of three (3) years' experience in the treatment and rehabilitation of substance abuse, and shall be licensed or certified by the state or nationally certified organizations. The facilitator shall not have a current or former financial, personal, or business relationship with applicant within the last five (5) years. Applicant's previous participation in a substance abuse group support meeting led by the same facilitator does not constitute a prohibited current or former financial, personal, or business relationship.

The facilitator shall provide a signed document to the Board or its designee showing applicant's name, the group name, the date and location of the meeting, applicant's attendance, and applicant's level of participation and progress. The facilitator shall report any unexcused absence by applicant from any substance abuse support group meeting to the Board, or its designee, within twenty-four (24) hours of the unexcused absence.

Worksite Monitor for Substance-Abusing Licensee Within thirty (30) calendar days of the effective date of this Decision, applicant shall submit to the Board or its designee for prior approval as a worksite monitor, the name and qualifications of one or more licensed physician and surgeon, other licensed health care professional if no physician and surgeon is available, or, as approved by the Board or its designee, a person in a position of authority who is capable of monitoring the applicant at work.

The worksite monitor shall not have a current or former financial, personal, or familial relationship with applicant, or any other relationship that could reasonably be expected to compromise the ability of the monitor to render impartial and unbiased reports to the Board or its designee. If it is impractical for anyone but applicant's employer to serve as the worksite monitor, this requirement may be waived by the Board or its designee, however, under no circumstances shall applicant's worksite monitor be an employee or supervisee of the licensee.

The worksite monitor shall have an active unrestricted license with no disciplinary action within the last five (5) years, and shall sign an affirmation that he has reviewed the terms and conditions of applicant's disciplinary order and agrees to monitor applicant as set forth by the Board or its designee.

Applicant shall pay all worksite monitoring costs.

The worksite monitor shall have face-to-face contact with applicant in the work. environment on as frequent a basis as determined by the Board or its designee, but not less than once per week; interview other staff in the office regarding applicant's behavior, if requested by the Board or its designee; and review applicant's work attendance.

The worksite monitor shall verbally report any suspected substance abuse to the Board and applicant's employer or supervisor within one (1) business day of occurrence. If the suspected substance abuse does not occur during the Board's normal business hours, the verbal report shall be made to the Board or its designee within one (1) hour of the next business day. A written report that includes the date, time, and location of the suspected abuse; applicant's actions; and any other information deemed important by the worksite monitor shall be submitted to the Board or its designee within 48 hours of the occurrence.

The worksite monitor shall complete and submit a written report monthly or as directed by the Board or its designee which shall include the following: (1) applicant's name and Physician's and Surgeon's Certificate number; (2) the worksite monitor's name and signature; (3) the worksite monitor's license number, if applicable; (4) the location or location(s) of the worksite: (5) the dates applicant had face-to-face contact with the worksite monitor; (6) the names of worksite staff interviewed, if applicable; (7) a report of applicant's work attendance; (8) any change in applicant's behavior and/or personal habits; and (9) any indicators that can lead to suspected substance abuse by applicant. Applicant shall complete any required consent forms and execute agreements with the approved worksite monitor and the Board, or its designee, authorizing the Board, or its designee, and worksite monitor to exchange information.

If the worksite monitor resigns or is no longer available, applicant shall, within five (5) calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within fifteen (15) calendar days. If applicant fails to obtain approval of a replacement monitor within sixty (60) calendar days of the resignation or unavailability of the monitor, applicant shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified.

Applicant shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

- Violation of Probation Condition for Substance-Abusing Licensees Failure to fully comply with any term or condition of probation is a violation of probation.
- If applicant commits a major violation of probation as defined by section 1361,52, subdivision (a), of Title 16 of the California Code of Regulations, the Board shall take one or more of the following actions:
 - (1) Issue an immediate cease-practice order and order applicant to undergo a clinical diagnostic evaluation to be conducted in accordance with section 1361.5. subdivision (c)(1), of Title 16 of the California Code of Regulations, at applicant's expense. The cease-practice order issued by the Board or its designee shall state that applicant must test negative for at least a month of continuous biological fluid

testing before being allowed to resume practice. For purposes of the determining the length of time an applicant must test negative while undergoing continuous biological fluid testing following issuance of a cease-practice order, a month is defined as thirty calendar (30) days. Applicant may not resume the practice of medicine until notified in writing by the Board or its designee that he may do so.

- (2) Increase the frequency of biological fluid testing.
- (3) Refer applicant for further disciplinary action, such as suspension, revocation, or other action as determined by the Board or its designee. (Cal. Code Regs., tit. 16, § 1361.52, subd. (b).)
- B. If applicant commits a minor violation of probation as defined by section 1361.52, subdivision (c), of Title 16 of the California Code of Regulations, the Board shall take one or more of the following actions:
 - (1) Issue a cease-practice order:
 - (2) Order practice limitations;
 - (3) Order or increase supervision of applicant;
 - (4) Order increased documentation;
 - (5) Issue a citation and fine, or a warning letter;
 - (6) Order applicant to undergo a clinical diagnostic evaluation to be conducted in accordance with section 1361.5, subdivision (c)(1), of Title 16 of the California Code of Regulations, at applicant's expense;
 - (7) Take any other action as determined by the Board or its designee. (Cal. Code Regs., tit. 16, § 1361.52, subd. (d).)
- C. Nothing in this Decision shall be considered a limitation on the Board's authority to revoke applicant's probation if he has violated any term or condition of probation. (See Cal. Code Regs., tit. 16, § 1361.52, subd. (e).) If applicant violates probation in any respect, the Board, after giving applicant notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against applicant during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 7) Controlled Substances Abstain From Use
 Applicant shall abstain completely from the personal use or possession of controlled
 substances as defined in the California Uniform Controlled Substances Act, dangerous
 drugs as defined by Business and Professions Code section 4022, and any drugs requiring
 a prescription. This prohibition does not apply to medications lawfully prescribed to
 applicant by another practitioner for a bona fide illness or condition. Within 15 calendar
 days of receiving any lawfully prescribed medications, applicant shall notify the Board or its

designee of the: issuing practitioner's name, address, and telephone number; medication name, strength, and quantity; and issuing pharmacy name, address, and telephone number.

If applicant has a confirmed positive biological fluid test for any substance (whether or not legally prescribed) and has not reported the use to the Board or its designee, applicant shall receive a notification from the Board or its designee to immediately cease the practice of medicine. The applicant shall not resume the practice of medicine until the final decision on an accusation and/or a petition to revoke probation is effective. An accusation and/or petition to revoke probation shall be filed by the Board within 30 days of the notification to cease practice. If the applicant requests a hearing on the accusation and/or petition to revoke probation, the Board shall provide the applicant with a hearing within 30 days of the request, unless the applicant stipulates to a later hearing. If the case is heard by an Administrative Law Judge alone, he or she shall forward a Proposed Decision to the Board within 15 days of submission of the matter. Within 15 days of receipt by the Board of the Administrative Law Judge's proposed decision, the Board shall issue its Decision. unless good cause can be shown for the delay. If the case is heard by the Board, the Board shall issue its decision within 15 days of submission of the case, unless good cause can be shown for the delay. Good cause includes, but is not limited to, non-adoption of the proposed decision, request for reconsideration, remands and other interlocutory orders issued by the Board. The cessation of practice shall not apply to the reduction of the probationary time period.

If the Board does not file an accusation or petition to revoke probation within 30 days of the issuance of the notification to cease practice or does not provide applicant with a hearing within 30 days of a such a request, the notification of cease practice shall be dissolved.

8) <u>Alcohol – Abstain From Use</u> Applicant shall abstain completely from the use of products or beverages containing alcohol.

If applicant has a confirmed positive biological fluid test for alcohol, applicant shall receive a notification from the Board or its designee to immediately cease the practice of medicine. The applicant shall not resume the practice of medicine until the final decision on an accusation and/or a petition to revoke probation is effective. An accusation and/or petition to revoke probation shall be filed by the Board within 30 days of the notification to cease practice. If the applicant requests a hearing on the accusation and/or petition to revoke probation, the Board shall provide the applicant with a hearing within 30 days of the request, unless the applicant stipulates to a later hearing. If the case is heard by an Administrative Law Judge alone, he or she shall forward a Proposed Decision to the Board within 15 days of submission of the matter. Within 15 days of receipt by the Board of the Administrative Law Judge's proposed decision, the Board shall issue its Decision, unless good cause can be shown for the delay. If the case is heard by the Board, the Board shall issue its decision within 15 days of submission of the case, unless good cause can be shown for the delay. Good cause includes, but is not limited to, non-adoption of the proposed decision, request for reconsideration, remands and other interlocutory orders issued by the Board. The cessation of practice shall not apply to the reduction of the probationary time period.

If the Board does not file an accusation or petition to revoke probation within 30 days of the issuance of the notification to cease practice or does not provide applicant with a hearing within 30 days of a such a request, the notification of cease practice shall be dissolved.

9) Psychotherapy

Within 60 calendar days of the effective date of this Decision, applicant shall submit to the Board or its designee for prior approval the name and qualifications of a California-licensed board certified psychiatrist or a licensed psychologist who has a doctoral degree in psychology and at least five years of postgraduate experience in the diagnosis and treatment of emotional and mental disorders. Upon approval, applicant shall undergo and continue psychotherapy treatment, including any modifications to the frequency of psychotherapy, until the Board or its designee deems that no further psychotherapy is necessary.

The psychotherapist shall consider any information provided by the Board or its designee and any other information the psychotherapist deems relevant and shall furnish a written evaluation report to the Board or its designee. Applicant shall cooperate in providing the psychotherapist any information and documents that the psychotherapist may deem pertinent.

Applicant shall have the treating psychotherapist submit quarterly status reports to the Board or its designee. The Board or its designee may require applicant to undergo psychiatric evaluations by a Board-appointed board certified psychiatrist. If, prior to the completion of probation, applicant is found to be mentally unfit to resume the practice of medicine without restrictions, the Board shall retain continuing jurisdiction over applicant's license and the period of probation shall be extended until the Board determines that applicant is mentally fit to resume the practice of medicine without restrictions.

Applicant shall pay the cost of all psychotherapy and psychiatric evaluations.

10) Solo Practice Prohibition

Applicant is prohibited from engaging in the solo practice of medicine. Prohibited solo practice includes, but is not limited to, a practice where: 1) applicant merely shares office space with another physician but is not affiliated for purposes of providing patient care, or 2) applicant is the sole physician practitioner at that location.

If applicant fails to establish a practice with another physician or secure employment in an appropriate practice setting within 60 calendar days of the effective date of this Decision, applicant shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. The applicant shall not resume practice until an appropriate practice setting is established.

If, during the course of the probation, the applicant's practice setting changes and the applicant is no longer practicing in a setting in compliance with this Decision, the applicant shall notify the Board or its designee within 5 calendar days of the practice setting change. If applicant fails to establish a practice with another physician or secure employment in an appropriate practice setting within 60 calendar days of the practice setting change, applicant shall receive a notification from the Board or its designee to cease the practice of

medicine within three (3) calendar days after being so notified. The applicant shall not resume practice until an appropriate practice setting is established.

11) Notification

Within seven (7) days of the effective date of this Decision, the applicant shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to applicant, at any other facility where applicant engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to applicant. Applicant shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

12) <u>Supervision of Physician Assistants and Advanced Practice Nurses</u>

During probation, applicant is prohibited from supervising physician assistants and advanced practice nurses.

13) Obey All Laws

Applicant shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.

14) Quarterly Declarations

Applicant shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation. Applicant shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

15) General Probation Requirements

Compliance with Probation Unit

Applicant shall comply with the Board's probation.

Address Changes

Applicant shall, at all times, keep the Board informed of applicant's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

Place of Practice

Applicant shall not engage in the practice of medicine in applicant's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

License Renewal

Applicant shall maintain a current and renewed California physician's and surgeon's license.

Travel or Residence Outside California

Applicant shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event applicant should leave the State of California to reside or to practice applicant shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

16) Interview with the Board or its Designee

Applicant shall be available in person upon request for interviews either at applicant's place of business or at the probation unit office, with or without prior notice throughout the term of probation.

17) Non-practice While on Probation

Applicant shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of applicant's return to practice. Non-practice is defined as any period of time applicant is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If applicant resides in California and is considered to be in non-practice, applicant shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve applicant from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event applicant's period of non-practice while on probation exceeds 18 calendar months, applicant shall successfully complete the Federation of State Medical Board's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Applicant's period of non-practice while on probation shall not exceed two (2) years.

Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for an applicant residing outside of California, will relieve applicant of the responsibility to comply with the probationary terms and conditions with the

exception of this condition and the following terms and conditions of probation: Obey All Laws; General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or Controlled Substances; and Biological Fluid Testing.

18) Completion of Probation

Applicant shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, applicant's license shall be unrestricted.

19) <u>Violation of Probation</u>

Failure to fully comply with any term or condition of probation is a violation of probation. If applicant violates probation in any respect, the Board, after giving applicant notice and the opportunity to be heard, may petition to revoke probation and take appropriate action. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against applicant during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.

20) <u>License Surrender</u>

Following the effective date of this Decision, if applicant ceases practicing due to retirement or health reasons or is otherwise unable to satisfy the terms and conditions of probation, applicant may request to surrender his license. The Board reserves the right to evaluate applicant request and to exercise its discretion in determining whether or not to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, applicant shall within 15 calendar days deliver applicant's wallet and wall certificate to the Board or its designee and applicant shall no longer practice medicine. Applicant will no longer be subject to the terms and conditions of probation. If applicant re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.

21) Probation Monitoring Costs

Applicant shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.

Applicant agrees to comply with the terms and conditions of the above Order.

Matthew Wells McConnell, Applicant

Date

| Matthew Wells McConnell, Applicant | Date | Date

Exhibit A
Virginia Board of Medicine
Consent Order

VIRGINIA:

BEFORE THE BOARD OF MEDICINE

IN RE

MATTHEW WELLS MCCONNELL, M.D. License No.: 0116-025984

CONSENT ORDER

The Virginia Board of Medicine ("Board") and Matthew Wells McConnell, M.D., as evidenced by their signatures affixed below, agree to enter into this Consent Order affecting the license of Dr. McConnell to practice medicine and surgery as an intern or resident in the Commonwealth of Virginia.

FINDINGS OF FACT AND CONCLUSIONS OF LAW

The Board adopts the following findings and conclusions in this matter:

- 1. Matthew Wells McConnell, M.D., was issued license number 0116-025984 by the Board to practice medicine and surgery as an intern or resident in the Commonwealth of Virginia on May 31, 2013. Said license is currently active and will expire on June 30, 2016, unless renewed or otherwise restricted.
- 2. Dr. McConnell is in violation of § 54.1-2915(A)(2) and (14) of the Code of Virginia (1950), as amended ("Code"), in that he is unable to practice medicine with reasonable skill and safety due to substance abuse. Specifically
 - a. In or about November 2015, after being confronted by his residency program about his alleged abuse of alcohol, Dr. McConnell admitted drinking "a couple of beers over dinner" while "on call."
 - b. Dr. McConnell underwent a four-day inpatient evaluation on or about November 10, 2015, followed by inpatient treatment for alcohol dependence.

- c. Dr. McConnell entered into a Participation Contract with the Virginia Health Practitioners' Monitoring Program ("HPMP") on or about December 14, 2015, in which he "recognize[ed] that [he] may suffer from the disease of alcoholism... that impairs [his] ability to practice [his] health profession safely..." Upon discharge from treatment on or about February 1, 2015, Dr. McConnell signed a Recovery Monitoring Contract with HPMP on February 2, 2016.
- 3. Dr. McConnell stated that he never consumed alcohol while working in the hospital or actively seeing patients. Rather, he was at home and available to answer questions via telephone from the hospital.

CONSENT

- I, Matthew Wells McConnell, M.D., by affixing my signature hereto, acknowledge that:
- I have been advised specifically to seek the advice of counsel prior to signing this
 document:
- 2. I am fully aware that without my consent, no legal action can be taken against me, except pursuant to the Virginia Administrative Process Act, § 2.2-4000.A et seg. of the Code;
 - I have the following rights, among others:
 - a. the right to an informal conference before the Board; and
 - the right to appear in person or by counsel, or other qualified representative
 before the agency;
 - I waive all rights to an informal conference;

1 3. 31 3!

- 5. I admit the truth of the above Findings of Fact contained herein and agree not to contest the Findings of Fact, Conclusions of Law or any sanction in any future judicial or administrative proceedings where the Board is a party; and
- 6. I consent to the following Order affecting my license to practice medicine and surgery as an intern or resident in the Commonwealth of Virginia.

ORDER

WHEREFORE, based on the foregoing Findings of Fact and Conclusions of Law, and with the consent of the licensee, it is hereby ORDERED that Matthew Wells McConnell, M.D., is issued a REPRIMAND.

It is further ORDERED that Dr. McConnell shall remain in HPMP and continue to comply fully with the terms of his contract, and any addenda thereto, until he successfully completes the program. In accordance with Dr. McConnell's contract(s), the Board will be notified of any noncompliance, dismissal, or resignation from HPMP.

Dr. McConnell shall maintain a course of conduct in his practice of medicine and surgery as an intern or resident commensurate with the requirements of Title 54.1, Chapter 29 of the Code and all laws of the Commonwealth.

Violation of this Order may constitute grounds for suspension or revocation of Dr. McConnell's license. In the event that Dr. McConnell violates this Order, an administrative proceeding may be convened to determine whether such action is warranted.

. . . !

Consent Order - Matthew Wells McConnell, M.D. Page 4 of 4

Pursuant to Section 54.1-2400.2 of the Code, the signed original of this Order shall remain in the custody of the Department of Health Professions as a public record, and shall be made available for public inspection and copying upon request.

FOR THE BOARD: Alliam L/Harp, M.D. ecutive Director irginia Board of Medicine SEEN AND AGREED TO: COMMONWEALTH OF VIRGINIA COUNTY/CITY OF CharloHesville Subscribed and sworn to before me, the undersigned Notary Public, in and for the Commonwealth of Virginia, at large, this 18 day of Nac 2016, by Matthew

Linda K White Commonwealth of Virginia Notary Public Commission No. 7538704 My Commission Expires 03/3/2017 Registration Number: My commission expires; March , 31,2017

Wells McConnell, M.D.

Exhibit B

North Carolina Medical Board

Consent Order

BEFORE THE NORTH CAROLINA MEDICAL BOARD

In re:)	•
,)	•
Matthew Wells McConnell,	M.D.,) .	CONSENT ORDER
	•)	
Applicant.)	

This matter is before the North Carolina Medical Board ("Board") on the application of Matthew Wells McConnell, M.D. ("Dr. McConnell") for a resident training license to practice medicine in the state of North Carolina. Dr. McConnell makes the following admissions, and the Board makes the following findings and conclusions:

STATUTORY AUTHORITY

The Board is a body duly organized under the laws of North Carolina and is the proper party to bring this proceeding under the authority granted to it in Article 1 of Chapter 90 of the North Carolina General Statutes and the rules and regulations promulgated thereto.

FINDINGS OF FACT

Dr. McConnell has applied for a resident training license to practice medicine in North Carolina.

In November 2015, Dr. McConnell admitted to his residency program director that he had consumed alcohol at his residence while on call for residents under his charge. Subsequently, Dr.

McConnell underwent a four-day inpatient evaluation, followed by inpatient treatment for alcohol dependence.

On December 14, 2015, Dr. McConnell entered in a Participation Contract with Virginia Health Practitioners' Monitoring Program ("VHPMP"). Upon discharge from inpatient treatment, Dr. McConnell signed a Recovery Monitoring Contract with VHPMP on February 2, 2016.

On May 25, 2016, Dr. McConnell entered into a Consent Order with the Virginia Board of Medicine in which he was reprimanded for consuming alcohol at his residence while on call for residents under his charge.

On April 3, 2017, Dr. McConnell was evaluated by the North Carolina Physicians Health Program ("NCPHP"). Dr. McConnell is currently under a parallel agreement with NCPHP while he is being monitored by VHPMP. Upon relocating to North Carolina, NCPHP will become his primary monitor. As long as Dr. McConnell abides by and continues his monitoring contract, NCPHP advocates for him to obtain his North Carolina resident training license.

CONCLUSIONS OF LAW

Dr. McConnell acknowledges that when abusing alcohol, he is unable to practice medicine with reasonable skill and safety within the meaning of N.C. Gen. Stat. § 90-14(a)(5) and grounds exist under this section of the North Carolina General Statutes for the Board to annul, suspend, revoke, condition, or limit Dr.

McConnell's license to practice medicine or to deny any application he might make in the future.

PROCEDURAL STIPULATIONS

Dr. McConnell acknowledges and agrees that the Board has jurisdiction over him and over the subject matter of this case.

Dr. McConnell knowingly waives his right to any hearing and to any judicial review or appeal in this case.

Dr. McConnell acknowledges that he has read and understands this Consent Order and enters into it voluntarily.

Dr. McConnell desires to resolve this matter without the need for more formal proceedings.

The Board has determined that it is in the public interest to resolve this case as set forth below.

ORDER

NOW, THEREFORE, with Dr. McConnell's consent, it is ORDERED that:

- 1. The Board shall issue Dr. McConnell a resident training license.
- 2. Dr. McConnell shall maintain his current contract with NCPHP and abide by its terms, including the timely payment of any fees required by NCPHP.
- 3. Unless lawfully prescribed for him by someone other than himself, Dr. McConnell shall refrain from the use or possession of alcohol and all other mind- or mood-altering

substances and all controlled substances including but not limited to, sedatives, stimulants, and pain medication.

- 4. Upon request by the Board, Dr. McConnell shall supply urine, blood, hair, or any other bodily fluid or tissue sample the Board might reasonably require for the purposes of analysis to determine if he has consumed any of the substances mentioned above.
- 5. Dr. McConnell shall obey all laws. Likewise, he shall obey all rules and regulations involving the practice of medicine.
- 6. Dr. McConnell shall meet with the Board or members of the Board for an investigative interview at such times as requested by the Board.
- 7. Upon request, Dr. McConnell shall provide the Board with any information the Board deems necessary to verify compliance with the terms and conditions of this Consent Order.
- 8. If Dr. McConnell fails to comply with any of the terms and conditions of this Consent Order, that failure shall constitute unprofessional conduct within the meaning of N.C. Gen. Stat. § 90-14(a)(6) and shall be grounds, after any required notice and hearing, for the Board to annul, suspend, or revoke his license to practice medicine and to deny any application he might make in the future or then have pending for a license.

9. This Consent Order shall take effect immediately upon its execution by both Dr. McConnell and the Board, and it shall continue in effect until specifically ordered otherwise by the Board.

10. Dr. McConnell hereby waives any requirement under any law or rule that this Consent Order be served on him.

11. Upon execution by Dr. McConnell and the Board, this Consent Order shall become a public record within the meaning of Chapter 132 of the North Carolina General Statutes and shall be subject to public inspection and dissemination pursuant to the provisions thereof. Additionally, it will be reported to persons, entities, agencies, and clearinghouses as required and permitted by law including, but not limited to, the Federation of State Medical Boards and the National Practitioner Data Bank.

By Order of the North Carolina Medical Board this the 1st day of February, 2018.

NORTH CAROLINA MEDICAL BOARD

By:

Timothy E. Lietz, M.D.

President

Comsented to this the	day or February, 2018.
	Mut Michell McConnell, M.D.
State of NC	
I, Aw ?est, Matthew Wells McConnell, M.D this day and acknowledged th instrument.	, do hereby certify that , personally appeared before me e due execution of the foregoing al seal this the
Notary Public	ADAM PEERV
My Commission Expires: 17/2/	My Committee Carolina